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| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/887,848 | |
| | Filing Date | June 22, 2001 | |
| | First Named Inventor | Robert C. Newman, Jr. | |
| | Group Art Unit | 2821 | |
| | Examiner Name | | |
| Total Number of Pages in This Submission | 2 | Attorney Docket Number | P99-16 |

| ENCLOSURES (check all that apply) | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Statement of Assignee under 37 CFR 3.73(b) |
| Remarks | | Statement of Assignee under 37 CFR 3.73(b) enclosed. |
| | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|------------------------------|
| Firm or Individual name | Lutron Electronics Co., Inc. |
| Signature | Mark E. Rose |
| Date | 19 AUGUST 2002 |

| CERTIFICATE OF MAILING | | | |
|--|--------------------|------|-----------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: August 19, 2002 | | | |
| Typed or printed name | Susan M. Frederick | | |
| Signature | Susan M. Frederick | Date | August 19, 2002 |

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TECHNOLOGY CENTER 2800

In re Application of
Robert C. Newman, Jr. et al.
U.S. Serial No. 09/887,848
Filed: June 22, 2001
For: ELECTRONIC BALLAST

Assistant Commissioner for Patents
Washington, D.C. 20231

STATEMENT OF ASSIGNEE UNDER 37 CFR 3.73(b)

Sir:

Lutron Electronics Company, Inc., states that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors of the patent application identified above. The assignment was recorded in the Patent and Trademark Office on January 14, 2002, at Reel 012493, Frame 0039.

The undersigned, whose title is supplied below is empowered to sign this certificate on behalf of the assignee.

Dated: _____

Signature

Name:

Title:

President

John Hengenderfer
John Hengenderfer
Aug 14 2002